



\$ MP

**COPY OF PAPERS  
ORIGINALLY FILED**

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Please type a plus sign (+) inside this box →

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09 / 929 , 815
		Filing Date	08 / 13 / 2001
		First Named Inventor	Wang
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	9	Attorney Docket Number	NT1143US

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	 <b>Postcard Signed Declaration</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	 Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Robert M. Storwick
Signature	
Date	March 19, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Mar. 19, 2002

Typed or printed name	Robert M. Storwick
Signature	
Date	Mar. 19, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**COPY OF PAPERS  
ORIGINALLY FILED**

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **FEE TRANSMITTAL for FY 2001**

*Patent fees are subject to annual revision.*

<b>TOTAL AMOUNT OF PAYMENT</b>	(\$)	<b>895</b>
--------------------------------	------	------------

*Complete if Known*

Application Number	09/929,815
Filing Date	08/13/2001
First Named Inventor	Wang
Examiner Name	
Group Art Unit	
Attorney Docket No.	NT1143US

<b>METHOD OF PAYMENT</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																								
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <b>50-0234</b>  Deposit Account Name <b>Robert M. Storwick</b>  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65 Surcharge - late filing fee or oath</td> <td align="right">65</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25 Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130 Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520 For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920* Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840* Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55 Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195 Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445 Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695 Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,890</td> <td>228</td> <td>945 Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>310</td> <td>219</td> <td>155 Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155 Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td>135 Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510 Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55 Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,240</td> <td>241</td> <td>620 Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,240</td> <td>242</td> <td>620 Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>440</td> <td>243</td> <td>220 Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>600</td> <td>244</td> <td>300 Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130 Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50 Processing fee under 37 CFR 1.17(q)</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180 Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40 Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146</td> <td>710</td> <td>246</td> <td>355 Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>710</td> <td>249</td> <td>355 For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>179</td> <td>710</td> <td>279</td> <td>355 Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>169</td> <td>900</td> <td>169</td> <td>900 Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="6">Other fee (specify) _____</td> </tr> <tr> <td colspan="4">*or number previously paid, if greater; For Reissues, see above</td> <td align="right">SUBTOTAL (3) (\$)</td> <td align="right">525</td> </tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td align="right">SUBTOTAL (3) (\$)</td> <td align="right">525</td> </tr> </tbody> </table>						Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity	Fee Description	Fee Paid	105	130	205	65 Surcharge - late filing fee or oath	65	127	50	227	25 Surcharge - late provisional filing fee or cover sheet		139	130	139	130 Non-English specification		147	2,520	147	2,520 For filing a request for ex parte reexamination		112	920*	112	920* Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840* Requesting publication of SIR after Examiner action		115	110	215	55 Extension for reply within first month		116	390	216	195 Extension for reply within second month		117	890	217	445 Extension for reply within third month		118	1,390	218	695 Extension for reply within fourth month		128	1,890	228	945 Extension for reply within fifth month		119	310	219	155 Notice of Appeal		120	310	220	155 Filing a brief in support of an appeal		121	270	221	135 Request for oral hearing		138	1,510	138	1,510 Petition to institute a public use proceeding		140	110	240	55 Petition to revive - unavoidable		141	1,240	241	620 Petition to revive - unintentional		142	1,240	242	620 Utility issue fee (or reissue)		143	440	243	220 Design issue fee		144	600	244	300 Plant issue fee		122	130	122	130 Petitions to the Commissioner		123	50	123	50 Processing fee under 37 CFR 1.17(q)		126	180	126	180 Submission of Information Disclosure Stmt		581	40	581	40 Recording each patent assignment per property (times number of properties)		146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279	355 Request for Continued Examination (RCE)		169	900	169	900 Request for expedited examination of a design application		Other fee (specify) _____						*or number previously paid, if greater; For Reissues, see above				SUBTOTAL (3) (\$)	525	*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)	525
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity	Fee Description	Fee Paid																																																																																																																																																																						
105	130	205	65 Surcharge - late filing fee or oath	65																																																																																																																																																																						
127	50	227	25 Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																							
139	130	139	130 Non-English specification																																																																																																																																																																							
147	2,520	147	2,520 For filing a request for ex parte reexamination																																																																																																																																																																							
112	920*	112	920* Requesting publication of SIR prior to Examiner action																																																																																																																																																																							
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action																																																																																																																																																																							
115	110	215	55 Extension for reply within first month																																																																																																																																																																							
116	390	216	195 Extension for reply within second month																																																																																																																																																																							
117	890	217	445 Extension for reply within third month																																																																																																																																																																							
118	1,390	218	695 Extension for reply within fourth month																																																																																																																																																																							
128	1,890	228	945 Extension for reply within fifth month																																																																																																																																																																							
119	310	219	155 Notice of Appeal																																																																																																																																																																							
120	310	220	155 Filing a brief in support of an appeal																																																																																																																																																																							
121	270	221	135 Request for oral hearing																																																																																																																																																																							
138	1,510	138	1,510 Petition to institute a public use proceeding																																																																																																																																																																							
140	110	240	55 Petition to revive - unavoidable																																																																																																																																																																							
141	1,240	241	620 Petition to revive - unintentional																																																																																																																																																																							
142	1,240	242	620 Utility issue fee (or reissue)																																																																																																																																																																							
143	440	243	220 Design issue fee																																																																																																																																																																							
144	600	244	300 Plant issue fee																																																																																																																																																																							
122	130	122	130 Petitions to the Commissioner																																																																																																																																																																							
123	50	123	50 Processing fee under 37 CFR 1.17(q)																																																																																																																																																																							
126	180	126	180 Submission of Information Disclosure Stmt																																																																																																																																																																							
581	40	581	40 Recording each patent assignment per property (times number of properties)																																																																																																																																																																							
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																							
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																							
179	710	279	355 Request for Continued Examination (RCE)																																																																																																																																																																							
169	900	169	900 Request for expedited examination of a design application																																																																																																																																																																							
Other fee (specify) _____																																																																																																																																																																										
*or number previously paid, if greater; For Reissues, see above				SUBTOTAL (3) (\$)	525																																																																																																																																																																					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)	525																																																																																																																																																																					
<b>SUBMITTED BY</b> <table border="1"> <tr> <td>Name (Print/Type)</td> <td>Robert M. Storwick</td> <td>Registration No. (Attorney/Agent)</td> <td>30,112</td> <td>Telephone</td> <td>206-232-2687</td> </tr> <tr> <td>Signature</td> <td colspan="3"><i>Robert M. Storwick</i></td> <td>Date</td> <td>May 19, 2002</td> </tr> </table>		Name (Print/Type)	Robert M. Storwick	Registration No. (Attorney/Agent)	30,112	Telephone	206-232-2687	Signature	<i>Robert M. Storwick</i>			Date	May 19, 2002	<i>Complete if applicable</i>																																																																																																																																																												
Name (Print/Type)	Robert M. Storwick	Registration No. (Attorney/Agent)	30,112	Telephone	206-232-2687																																																																																																																																																																					
Signature	<i>Robert M. Storwick</i>			Date	May 19, 2002																																																																																																																																																																					

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,815	08/13/2001	Chih-Fei J. Wang	NT1143US

CONFIRMATION NO. 8826

FORMALITIES LETTER



\*OC00000006574615\*

Date Mailed: 09/19/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

*A copy of this notice **MUST** be returned with the reply.*

G1

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

04/03/2002 AOSMANI 00000074 09929815

02 FC:201  
03 FC:205

370.00 OP  
65.00 OP